

# The 28<sup>th</sup> RegTech Convention 2021

Start of a New Chapter, November 23-24, 2021

#regtechcon



# The 28<sup>th</sup> RegTech Convention

## Start of a New Chapter

The RegTech Convention is a two-day conference that has been organized by BearingPoint for more than 25 years. Today, the event is one of the largest conferences on financial market regulation and RegTech in Europe with around 1,200 participants from 80 countries. Participants include representatives from the European banking sector, insurance companies, regulators, central banks, and the media. This year's RegTech Convention will take place on November 23-24 as a virtual conference broadcast via a live stream from the Kap Europa Congress Centre in Frankfurt.

The motto of this year's RegTech Convention is "**Start of a New Chapter**". The COVID-19 pandemic has changed the world and a new era for the financial services has begun. As a result, challenges for both banks and regulators have increased, and big trends such as digitalization, cost reduction and sustainability have been accelerated. The 28th RegTech Convention is a global platform for all stakeholders to discuss the future and the sustainability of the financial system and banking regulation. The conference will focus on digital transformation from the perspective of banks and supervisors, the ongoing pressure on costs and efficiency, and the increased requirements for sustainability, among others.

## What to expect

### Bringing the industry together

The event has developed over the past 25 years and is now one of the largest conferences on financial regulation and RegTech in Europe. Every year, our unique event brings together international stakeholders such as regulators, regulated firms, and industry experts. This year, we are looking forward to welcoming an even larger community – and that virtually.

### Virtual event experience

Our virtual RegTech Convention offers you a unique conference experience with real event feeling. You can visit conference rooms and listen to live presentations, have conversations with our exhibition partners at their exhibition stands, or chat with other participants via group or individual chat. Online - without travel time and costs.

### Industry event

On the first day of the RegTech Convention, we will present keynotes and panel discussions with focus on, among others, digital transformation from the perspective of banks and supervisors, the ongoing pressure on costs and efficiency, and the increased requirements for sustainability.

### User conference

The second day focuses on topics related to our products. We will present the most important developments in our product and service portfolio and give an outlook on the planning for the coming year. In practice-oriented breakout sessions, we will also focus on topics from regulatory reporting, RegTech, SupTech and risk management.



# Guest Speakers (1/2)



**Nikolay Arnaudov**  
Senior Policy Officer  
at the Market and Data Department,  
European Securities & Markets Authority



**Jan Reinder De Carpentier**  
Vice Chair,  
Single Resolution Board (SRB)



**Martina Drvar**  
Vice Governor, Croatian National Bank  
& Member of the European Banking  
Authority Management Board



**Joachim Embretsen**  
Solution Architect,  
Finansinspektionen



**Jacob Gyntelberg**  
Director of the Economic and Risk  
Analysis Department,  
European Banking Authority



**Pentti Hakkarainen**  
Member of the Supervisory Board,  
European Central Bank



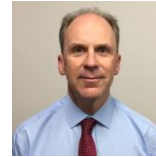
**James Hardie**  
Product Manager,  
UnaVista, LSEG business



**Karmela Holtgreve**  
Chief Innovation Officer,  
Vizor Software



**Joanne Horgan**  
Chief Innovation Officer,  
Vizor Software



**Doug Jenkins**  
CIO,  
APRA



**Jussi Koskinen**  
Chief Legal Officer,  
Nordea Bank Abp



**Alexandra Maniati**  
Director Innovation & Cyber Security,  
European Banking Federation



**Peter Mihalik**  
Operations Director,  
European Banking Authority



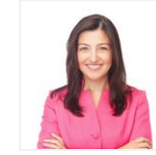
**Thomas Nielsen**  
Senior Advisor,  
Mayfair Equity Partners LLP



**Benjamin Printz**  
Data Warehouse Architect,  
Finansinspektionen



**Andrea Puig**  
Head of Regulatory Reporting,  
DWS Reporting



**Ulku Rowe**  
Technical Director of Financial Services,  
Google Cloud



**Prof. Dr. Monika Schnitzer**  
Member of the German Council of  
Economic Experts & professor of  
comparative economics, LMU



# Guest Speakers (2/2)



**Ian Sloyan**  
Director, Data and Digital Solutions,  
International Swaps and Derivatives  
Association



**Dr. Johannes Turner**  
Director Statistics Department,  
Oesterreichische Nationalbank



**Eric Usher**  
Head Finance Initiative,  
United Nations Environment Programme



**Annette Weisbach**  
Business Journalist



**John Yeo**  
Manager,  
FCA RegTech team



## Agenda overview – November 23, 2021

08:00 am	<b>Opening of the virtual platform</b> Start of the virtual event +++ Time for networking	Partner Exhibition
09:30 am	<b>Opening of the 28th RegTech Convention by the moderator</b>	
09:35 am	<b>Opening Keynote</b> Eric Usher, Head UNEP Finance Initiative, United Nations Environment Programme	
09:55 am	<b>Keynote from the ECB: Digitalisation in European banking: No time like the present</b> Pentti Hakkarainen, Member of the Supervisory Board, ECB	
10:15 am	<b>Keynote: The role of innovation for the economy and sustainable growth</b> Prof. Monika Schnitzer, Member of the German Council of Economic Experts and professor of comparative economics at the Ludwig-Maximilians-University Munich	
10:35 am	<b>Panel discussion: Financial markets and banking regulation 2025 – where are we heading?</b>	
11:15 am	<i>Coffee Break +++ Networking +++ Partner exhibition +++ Meet the experts</i>	
11:45 am	<b>Dialogue: Digital finance and the impact of regulation. Potential, barriers, and preconditions for better regulation</b>	
12:30 am	<i>Lunch Break +++ Networking +++ Partner exhibition +++ Meet the experts</i>	
02:00 pm	<b>Closing Keynote: ESG risk management and reporting</b> Jacob Gyntelberg, Director of the Economic and Risk Analysis Department, EBA	
02:50 – 03:45 pm	<b>Breakout Sessions</b> Topic 1: RegOps – A new approach towards the future of regulatory reporting Topic 2: Efficiency in Regulatory Reporting – Insights from European Banking Study	
03:45 pm	<b>End of the first conference day</b>	

## Agenda overview – November 24, 2021

09:15 am	<b>Regnology Business Review and Outlook</b>			Tax User Seminars	Partner Exhibition
10:15 am	<i>Coffee Break +++ Networking +++ Partner exhibition +++ Meet the experts</i>				
11:00 am	<b>Banking Conference</b>	<b>Regulators Conference</b>	<b>Transactions Conference</b>		
01:00 pm	<i>Lunch Break +++ Networking +++ Partner exhibition +++ Meet the experts</i>				
02:00 pm	<b>Abacus360 Banking Regulatory &amp; Technical Breakout Sessions</b>	<b>Regulators Conference</b>			
05:00 pm	<b>End of the 28th RegTech Convention</b>				



# Tuesday, November 23, 2021

## Plenary Session

(Conference language: German/English; simultaneous translation)

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08:00 am	<b>Opening of the virtual platform</b> Start of the virtual event +++ Time for networking
09:30 am	<b>Opening of the 28th RegTech Convention by the moderator</b>
09:35 am	<b>Opening Keynote</b> Eric Usher, Head UNEP Finance Initiative, United Nations Environment Programme
09:55 am	<b>Keynote from the ECB: Digitalisation in European banking: No time like the present</b> Pentti Hakkarainen, Member of the Supervisory Board, European Central Bank
10:15 am	<b>Keynote: The role of regulation and innovation for sustainable growth</b> Prof. Monika Schnitzer, Member of the German Council of Economic Experts and professor of comparative economics at the Ludwig-Maximilians-University Munich
10:35 am	<b>Panel discussion: Financial markets and banking regulation 2025 – where are we heading?</b> <ul style="list-style-type: none"><li>▪ Jan Reinder De Carpentier, Vice Chair, SRB</li><li>▪ Jussi Koskinen, Chief Legal Officer, Nordea Bank Abp</li><li>▪ Prof. Monika Schnitzer, Member of the German Council of Economic Experts</li></ul> Moderation: Annette Weisbach, Business Journalist
11:15 am	<i>Coffee Break +++ Partner exhibition +++ Meet the experts</i>
11:45 am	<b>Dialogue: Digital finance and the impact of regulation. Potential, barriers, and preconditions for better regulation</b> <ul style="list-style-type: none"><li>▪ Alexandra Maniati, Director Innovation and Cyber Security, European Banking Federation</li><li>▪ Christophe Leblanc, Group Head of Resources &amp; Digital Transformation, Société Générale</li></ul> Moderation: Bodo Windmüller, Senior Vice President Product Management, Regnology
12:30 am	<i>Lunch Break +++ Partner exhibition +++ Meet the experts</i>
02:00 pm	<b>Closing Keynote: ESG risk management and reporting</b> Jacob Gyntelberg, Director Economic & Risk Analysis Department, European Banking Authority
02:50 pm	<b>RegOps – A new approach towards the future of regulatory reporting</b> <ul style="list-style-type: none"><li>▪ Johannes Turner, Director Statistics Department, Oesterreichische Nationalbank</li><li>▪ Martina Drvar, Vice Governor, Croatian National Bank &amp; Member of the European Banking Authority Management Board</li><li>▪ Daniel Münch, Business Advisor, Regnology</li></ul>
03:20 pm	<b>Efficiency in Regulatory Reporting – Insights from European Banking Study</b> Przemyslaw Noetzel, Senior Manager, BearingPoint
03:45 pm	<b>End of the first conference day</b>

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# Wednesday, November 24, 2021

## Plenary Session and Banking Conference

(Language: German and English; simultaneous translation)

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08:00 am      **Opening of the second conference day**

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09:15 am      **Regnology Business Review and Outlook**

- Rob Mackay, CEO, Regnology
- Sarah Anderson, Chief Customer Officer, Regnology
- Jürgen Lux, Senior Advisor, Regnology

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10:15 am      *Coffee Break +++ Networking +++ Partner exhibition +++ Meet the experts*

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11:00 am      **Discussion: The future of RegTech – what do firms really want?**

- Joanne Horgan, Chief Innovation Officer, Vizor Software
- John Yeo, Manager RegTech team, Financial Conduct Authority (FCA)
- Thom van Os, Program Manager Regulatory Reporting Platform, Rabobank

Moderation: Ulrich Le, Head of Pre-Sales, Regnology

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11:45 am      **Update on Abacus Banking: Status, Roadmap and Releaseplan**

- Bodo Windmüller, Senior Vice President Product Management, Regnology
- Ulrich Becht, Product Director, Regnology
- Mark Gördes, Product Director, Regnology

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01:00 pm      *Lunch break +++ Networking +++ Partner exhibition*

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# Wednesday, November 24, 2021

## Breakout Sessions

(Language: German and English; simultaneous translation)

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### REGULATORY TOPICS:

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02:00 pm	<b>Abacus360 Banking Migration - First experiences from business perspective</b> <ul style="list-style-type: none"><li>▪ Nadine Rössel, Business Advisor, Regnology</li><li>▪ Anastasiia Niechaieva, Manager, Regnology</li></ul>
02:45 pm	<b>Abacus360 Designer Tools - Customer Use cases</b> Matthias Polle, Senior Business Consultant, Regnology
03:15 pm	<b>Reporting on Residential Property Loans (FinstabDEV) (GERMAN TOPIC)</b> <ul style="list-style-type: none"><li>▪ Jürgen Triebel, Senior Software Architect, Regnology</li><li>▪ Markus Frik, Senior Consultant Finance and Regulatory, BearingPoint</li></ul>
03:40 pm	<b>ECB Statistics (balance-sheet, payments, cross countries)</b> <ul style="list-style-type: none"><li>▪ Raoslav Dimitrov, Business Analyst, Regnology</li><li>▪ Diana Hofer, Senior Business Analyst, Regnology</li></ul>
04:00 pm	<b>Abacus360 Banking Forecasting &amp; Simulations</b> <ul style="list-style-type: none"><li>▪ Stefan Trummer, Senior Product Manager, Regnology</li><li>▪ Thomas Czelinski, Senior Manager, Regnology</li><li>▪ Jens Hahn, Senior Business Analyst, Regnology</li></ul>
04:30 pm	<b>ESG Disclosure</b> <ul style="list-style-type: none"><li>▪ Sofiene Cherif, Senior Business Consultant, BearingPoint</li><li>▪ Julian Stumpf, Senior Consultant, BearingPoint</li><li>▪ Erik Becker, Director, Regnology</li></ul>
05:00 pm	<b>IFR / IFPR</b> Eric Stiegeler, Senior Product Manager, Regnology

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### TECHNICAL TOPICS:

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02:00 pm	<b>Abacus360 Banking Platform – Data Architecture</b> <ul style="list-style-type: none"><li>▪ Marc Mährländer, Senior Software Architect, Regnology</li><li>▪ Daniel Glöckner, Senior Software Architect, Regnology</li></ul>
02:30 pm	<b>Managed Services</b> Moritz Plenk, Head of Managed Services, Regnology
03:00 pm	<b>Abacus360 Banking Migration: First experiences from technical perspective</b> Timo Schmuck, Senior Product Owner, Regnology
03:30 pm	<b>Abacus Insurance Product Update</b> <ul style="list-style-type: none"><li>▪ Thomas Hofmann, Product Manager, Regnology</li><li>▪ Colm Moore, Manager, Regnology</li></ul>
04:15 pm	<b>XBRL Test Data as a Service</b> Mark Shead, Country Lead, Regnology

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Wednesday, November 24, 2021

## Regulators Conference

(Conference language: English)

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11:00 am	<b>Presentation: Cloud: a Game Changer for RegTech and SupTech?</b> Ulku Rowe, Technical Director of Financial Services, Google Cloud
11:45 am	<b>Discussion: Journey to the Cloud: Where Do Regulators Stand and Why?</b> <ul style="list-style-type: none"><li>Thomas Nielsen, Senior Advisor, Mayfair Equity Partners LLP</li><li>Peter Mihalik, Operations Director, European Banking Authority</li></ul> Moderation: Buncak Saykam, Sales Director, Regnology
12:30 pm	<b>Abacus Regulator Meta Data Cloud Approach</b> Robert Binder, Associate Product Manager, Regnology
01:00 pm	<i>Lunch Break +++ Networking +++ Partner exhibition +++ Meet the experts</i>
02:00 pm	<b>Use Case: Success Story Finansinspektionen</b> <ul style="list-style-type: none"><li>Joachim Embretsen, Solution Architect, Finansinspektionen</li><li>Benjamin Printz, Data Warehouse Architect, Finansinspektionen</li><li>Thomas Schönenbach, Product Owner, Regnology</li><li>Jan-Hendrik Hühne, Senior Manager, Regnology</li></ul>
02:45 pm	<b>Regulatory Data Management: APRA case study</b> <ul style="list-style-type: none"><li>Doug Jenkins, CIO, APRA</li><li>Ryan Flood, CTO, Vizor by Regnology</li></ul>
03:15 pm	<b>What Regulators driving innovation and Tesla have in common</b> <ul style="list-style-type: none"><li>Zunera Mazhar, Deputy Chief Innovation Officer, FDIC</li><li>Karmela Holtgreve, Head of Digital Office, Deutsche Bundesbank</li></ul> Moderation: Daniel Münch, Business Advisor, Regnology
03:45 pm	<i>End of Regulators Conference</i>

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## Transactions Conference

(Conference language: English)

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11:00 am	<b>Welcome</b> Alexander Becht, Product Manager Abacus Transactions, Regnology
11:03 am	<b>Presentation: EMIR Refit</b> James Hardie, Product Manager, UnaVista, an LSEG business
11:35 pm	<b>Panel discussion: Industrialization of Transaction Reporting</b> <ul style="list-style-type: none"><li>Andrea Puig, Head of Regulatory Reporting, DWS Reporting</li><li>Ian Sloyan, Director Data and Digital Solutions, ISDA</li><li>Nikolay Arnaudov, Senior Policy Officer at the Market and Data Department, ESMA</li></ul> Moderation: Fabian Kiesel, Senior Sales Executive, Regnology
12:35 pm	<b>Abacus Transactions Product Update</b> Alexander Becht, Product Manager Abacus Transactions, Regnology
01:00 pm	<i>End of Transactions Conference</i>

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Wednesday, November 24, 2021

## FiTAX User Seminar

(Conference language: English)

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09:30 am	<b>Regulatory Updates</b> Jean Kizito, Partner, KPMG Luxembourg
10:30 am	Coffee break
10:45 pm	<b>Review Tax Year 2020 / Outlook Tax Year 2021</b> Fabrice Chatelain, Product Director, Regnology
11:00 pm	<b>Business and Functional Updates</b> <ul style="list-style-type: none"><li>▪ Fabrice Chatelain, Product Director, Regnology</li><li>▪ Remi Grossmann, Product Manager, Regnology</li></ul>
12:00 pm	<i>End of FiTAX User Seminar</i> <i>Lunch break +++ Partner exhibition +++ Meet the experts</i>

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## EasyTax User Seminar

(Conference language: English)

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01:30 pm	<b>Introduction - Review Reporting Season 2020 / Outlook Tax Reporting Season 2021</b> Mathias Wegner, Product Director, Regnology
01:45 pm	<b>Regulatory and Module Updates</b> Eva-Maria Than, Product Manager, Regnology
02:15 pm	Coffee break
02:45 pm	<b>Product &amp; Support-Updates - New functionalities and offerings</b> <ul style="list-style-type: none"><li>▪ Fabio Schiess, Senior Product Consultant, Regnology</li><li>▪ Mathias Wegner, Product Director, Regnology</li></ul>
03:30 pm	<b>Technical Updates and Conclusion</b> <ul style="list-style-type: none"><li>▪ Werner Berger, Head of Software Development, Regnology</li><li>▪ Mathias Wegner, Product Director, Regnology</li></ul>
04:00 pm	<i>End of EasyTax User Seminar</i>

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## FiRE User Seminar

(Conference language: English)

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11:00 am – 01:00 pm	<b>FiRE User Seminar Switzerland</b> (Seminar language: German)
02:00 pm – 04:00 pm	<b>FiRE User Seminar Switzerland</b> (Seminar language: French)

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Tuesday, November 23, 2021

Meet the experts @Networking Lounge

## Customer Support area (Wiki and Support)

Time	Title	Speaker
11:15 – 11:45 am	<b>Regnology Wiki</b> Our RegTech Wiki serves as the central platform to easily navigate through our software. It contains User Guides, Data Requirements & Dictionaries as well as everything else you need as information to be able to navigate through Abacus. For each product, there are dedicated areas in the Wiki. If you have specific questions, troubles using the RegTech Wiki or are unaware of its handy functionalities, please join our Meet-the-Experts-round! We are happy to help and keen on receiving any feedback.	Nicola Hrynko Eva Karnosky Yohanna Mebrahtu Angela Werdenich Kristin Beck
12:45 – 01:00 pm	<b>Regnology Customer Support</b> A short introduction and dialogue regarding the ongoing improvements and new initiatives in the Regnology Customer Support organisation.	Andrew Johnston Yannik Klaus

## Advisory Lounge

Time	Title	Speaker
11:15 – 11:45 am	<b>ABEX</b> The ABEX functionality is a simple and user-friendly interface to import reporting files directly from Excel into ABACUS.	Teodor Tarle
12:45 – 01:00 pm	<b>Regnology Customer Services</b>	Sven Hallau Ulrich Lehmann



Wednesday, November 24, 2021

Meet the experts @Networking Lounge

## Customer Support area (Wiki and Support)

Time	Title	Speaker
10:30 – 11:00 am	<b>Regnology Wiki</b> Our Wiki serves as the central platform to easily navigate through our software. It contains User Guides, Data Requirements & Dictionaries as well as everything else you need as information to be able to navigate through Abacus. For each product, there are dedicated areas in the Wiki. If you have specific questions, troubles using the Wiki or are unaware of its handy functionalities, please join our Meet-the-Experts-round! We are happy to help and keen on receiving any feedback.	Nicola Hrynko Eva Karnosky Yohanna Mebrahtu Angela Werdenich Kristin Beck
01:00 – 01:30 pm	<b>Regnology Customer Support</b> A short introduction and dialogue regarding the ongoing improvements and new initiatives in the Regnology Customer Support organisation.	Andrew Johnston Yannik Klaus

## Advisory Lounge

Time	Title	Speaker
10:30 – 11:00 am	<b>Custom Interface within Abacus360</b> How can you build and maintain your interface transformations directly in Abacus360? Using SQLx in combination with customizable tables allows you to deliver your data model directly to Abacus360. In an implementation project we help you to define the transformation rules and implement them to make sure the standard interface from Abacus360 Banking has all required data in the required format. Customizable tables allow you to change many transformation rules the same way you change basic settings today (“Grundeinstellungen”). You can use many of the known features from Abacus360 to be compliant and have all regulatory business logic in one place.	Jan Melin
01:00 – 01:30 am	<b>Custom Reporting: Unburdening your Custom Reports creation</b>	Erik Rood
01:30 – 02:00 am	<b>Regnology Customer Services</b>	Sven Hallau

## FiRE User Seminar – Q&A Lounge

Time	Title	Speaker
01:00 – 05:00 pm	<b>FiRE Seminar Q&amp;A</b>	



# Partner

## Lead Partner

### **BearingPoint**

BearingPoint is an independent management and technology consultancy with European roots and a global reach. The company operates in three business units: The first unit covers the advisory business with a clear focus on five key areas to drive growth across all regions. The second unit provides IP-driven managed services beyond SaaS and offers business critical services to its clients supporting their business success. The third unit provides the software for successful digital transformation. It is also designed to explore innovative business models with clients and partners by driving the financing and development of start-ups and leveraging ecosystems.

Our Banking & Capital Markets team (BCM) operates globally and has extensive Banking & Capital Markets expertise. Our experts lead the way in helping our clients navigate change for lasting success. We understand the industry and the road ahead.

BearingPoint has a strong track record in Banking & Capital Markets and is currently involved in several projects all over Europe. Our clients are many of the world's leading financial institutions, such as banks, asset managers, exchanges and central counterparties.

BearingPoint's clients include many of the world's leading companies and organizations.

The firm has a global consulting network with more than 10,000 people and supports clients in over 75 countries, engaging with them to achieve measurable and sustainable success.

[www.bearingpoint.com](http://www.bearingpoint.com)

## Exhibition Partner

### **d-fine**

d-fine is a European consulting firm focused on analytical and quantitative challenges and the development of sustainable technological solutions. The combination of hundreds of employees with a strong scientific background and many years of practical experience enables us to provide tailor-made, efficient and reliable solutions for more than two hundred clients, especially from the sector of financial services.

[www.d-fine.com](http://www.d-fine.com)



# Partner

## Exhibition Partner



As an international management consulting firm, the ifb group specializes in developing and implementing integrated financial management and risk systems with a focus on the financial industry. With strong knowledge of the sector, professional expertise and a strength in technical implementation, we advise our clients on all areas of activity in accounting and controlling, as well as in matters of supervisory law, risk management and compliance. We manage individual change measures as well as integrated transformation projects, from developing the strategy to execution within processes, right through to IT implementation. We round off our service portfolio with managed services, as well as external application and process support.

For more than ten years, the ifb group has carried out implementation projects within the framework of Abacus and therefore employs a large number of certified Abacus consultants. As an alliance partner in the field of RegTech, we engage in a constant dialog with Regnology and actively contribute to the optimization of software and implementation projects. Thanks to this experience, we offer our clients optimum solutions tailor-made for them.

[www.ifb-group.com](http://www.ifb-group.com)

## Exhibition Partner Light



German Excellence. Global Relevance.

Frankfurt School of Finance & Management is one of the leading private Business Schools headquartered in Frankfurt am Main. It was founded as Bankakademie e.V. in 1957 and since then, has been setting new standards when it comes to in-house training and further education, along with academic programmes. The school has a large number of academic research centres and carries out numerous international consulting projects.

With the globally renowned accreditations from AACSB, EQUIS and AMBA, three international seals of approval confirm the quality of Frankfurt School. Frankfurt School is among the top 30 in the European Business School Ranking of the Financial Times and retains its fourth place amidst the German competition.

In the area of risk management, regulatory reporting and supervisory law, Frankfurt School offers a wide range of seminars and certificate courses. The courses are flexibly designed and take place both in presence and online formats.

[www.execed.frankfurt-school.de/en](http://www.execed.frankfurt-school.de/en)



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